

For Individual Client		Account No.
Applicant Name		Nationality (Please specify all nationalities that you hold.)
ID Card No.	Passport No.	

Part 1 Status of Client

Please check the appropriate boxes corresponding to your status.

If you check "yes" in any one box, please complete Form W-9) and ending the question.

1	Are you a U.S. Citizen?	☐ Yes	☐ No
You must answer "Yes" if you are a U.S. citizen even though you reside outside of the U.S. You must answer "Yes" if you hold multiple citizenships, one of which is U.S. citizenship. You must answer "Yes" if you were born in the U.S. (or U.S. Territory) and have not legally surrendered U.S. citizenship.			
2	Are you a holder of any U.S. Permanent Resident Card (e.g. Green Card)?	☐ Yes	☐ No
You must answer "Yes" if the U.S. Citizenship and Immigration Service (USCIS) has issued a U.S. Permanent Resident Card to you, regardless of whether or not such card has expired on the date you complete and sign this form. You should answer "No" if such card has been officially abandoned, revoked, or relinquished as of the date you sign and complete this form.			
3	Are you a U.S. resident for U.S. tax purposes?	☐ Yes	☐ No
You may be considered a U.S. resident if you meet the "Substantial Physical Presence Test", for instance, during the current year, you were present in the U.S. for at least 183 days. For more details, please refer to the information on the IRS' website: http://www.irs.gov/Individuals/International-Taxpayers/Substantial-Presence-Test			

Additional Questions

If you check "yes" in any one box, please complete Form W-8BEN and provide supporting document(s)

1	Were you born in the U.S. (or U.S. Territory) but have legally surrendered U.S. citizenship?	☐ Yes	☐ No
2	Do you have standing instructions to transfer funds from the account opened or held with CGS-CIMB Financial Group* to an account maintained in the U.S.?	☐ Yes	☐ No
3	Do you have a power of attorney or signatory authority for the account opened or held with CGS-CIMB Financial Group granted to person with U.S. address?	☐ Yes	☐ No
4	Do you have a hold mail or in care of address as the sole address for the account opened or held with CGS-CIMB Financial Group?	☐ Yes	☐ No
5	Do you have a current U.S. residence address or U.S. mailing address for the account opened or held with CGS-CIMB Financial Group?	☐ Yes	☐ No
6	Do you have U.S. telephone number for contacting you or another person in relation to the account opened or held with CGS-CIMB Financial Group?	☐ Yes	☐ No

Part 2 Confirmation and Change of Status

- You confirm that the above information is true, correct, accurate and complete.
- You acknowledge and agree that if you are a U.S. Person but the information provided on this form or Form W-9/ W-8BEN is false, inaccurate or incomplete, CGS-CIMB Financial Group shall be entitled to terminate, at its sole discretion, the entire banking/business relationship with you or part of such relationship as CGS-CIMB Financial Group may deem appropriate.
- You agree to notify and provide relevant documents to CGS-CIMB Financial Group within 30 days after any change in circumstances that causes the information provided in this form to be incorrect.
- You acknowledge and agree that failure to comply with item 3 above, or provision of any false, inaccurate or incomplete information as to your status, shall entitle CGS-CIMB Financial Group to terminate, at its sole discretion, the entire banking/business relationship with you or part of such relationship as CGS-CIMB Financial Group may deem appropriate.

* "CGS-CIMB Financial Group" shall include CGI Affiliates (China Galaxy International Financial Holdings Limited) and CIMB Group Sdn Bhd Affiliates

Part 3 Authorization for information disclosure and account withholding

You hereby irrevocably authorize CGS-CIMB Financial Group to;

- disclose to the companies under CGS-CIMB Financial Group (for the benefit of FATCA compliance), domestic and/or foreign tax authorities, including the U.S. Internal Revenue Service (IRS), your name, address, taxpayer identification number, account number, FATCA compliance status (compliant or recalcitrant), account balance or value, the payments made into or from the account, account statements, the amount of money, the type and value of financial products and/or other assets held with CGS-CIMB Financial Group, as well as the amount of revenue and income and any other information regarding the banking/business relationship which may be requested or required by the companies under CGS-CIMB Financial Group, domestic and/or foreign tax authorities, including the IRS; and
- withhold from your account and/or the income derived from or through CGS-CIMB Financial Group in the amount as required by the domestic and/or foreign tax authorities, including the IRS, pursuant to the laws and/or regulations, and any agreements between CGS-CIMB Financial Group and such tax authorities.
- If you fail to provide the information required to determine whether you are a U.S. person, or to provide the information required to be reported to CGS-CIMB Financial Group, or if you fail to provide a waiver of a law that would prevent reporting, CGS-CIMB Financial Group shall be entitled to terminate, at its sole discretion, the entire banking/business relationship with you or part of such relationship as CGS-CIMB Financial Group may deem appropriate.

This letter is given to **CGS-CIMB Securities (Thailand) Co., Ltd.**, by signing in the space below, I hereby acknowledge and agree to the terms and conditions specified herein, which include permitting the disclosure of information, account withholding and termination of banking/business relationship.

Given on.....

Signed Applicant

(.....)